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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL



Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:

☐ DUPLICATE

Address to:

Assistant Commissioner for Patents
CPA
Washington, DC 20231

Attorney Docket No. 8484-018-999

First Named Inventor Seibel

Express Mail Label No. EL 451 595 905 US

Total Pages 13

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53 (d), (continued prosecution application (CPA)) of prior application number 08/765,244, filed on 10/30/97, entitled CHIMERICAL PEPTIDE-NUCLEIC ACID FRAGMENT, PROCESS FOR PRODUCING THE SAME AND ITS USES FOR APPROPRIATELY INTRODUCING NUCLEIC ACIDS INTO CELL ORGANELLES AND CELLS

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
 - ☐ PTO-1449
 - ☐ Copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	3 — 20 =	0	\$ 18	= ... \$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.15(b))	3 — 3 =	0	\$ 80	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270	
		0		BASIC FEE (37 CFR 1.15(a))	710.00
				Total of above Calculations =	710.00
				Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).	355.00
				TOTAL =	\$ 355.00

6. Small entity status:

- a. ☒ A copy of the small entity statement previously filed is enclosed.
- b. ☒ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed
7. ☒ A petition for extension of time is enclosed.
8. The Commissioner is hereby authorized to credit overpayments or charge fees to Deposit Account No. 16-1150:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
- d. ☐ Fees required under 37 CFR 1.136.
9. ☐ A check in the amount of \$ _ is enclosed.
10. ☒ Other: Please enter the enclosed Preliminary Amendment before counting the claims.

NOTE:

The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

10. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

24341

or ☐ New correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

PENNIE & EDMONDS LLP

NAME

Laura A. Coruzzi

REG. NO. 30,742

SIGNATURE

Laura A. Coruzzi by: [Signature] 43,341

DATE

Jan 5, 01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: P. Seibel and A. Seibel

Group Art Unit: 635

Serial No.: 08/765,244

Examiner: Lacouciere, K.

Filed: October 30, 1997

Attorney Docket No.: 8484-018-999

For: CHIMERICAL PEPTIDE-NUCLEIC ACID
FRAGMENT, PROCESS FOR PRODUCING
THE SAME AND ITS USES FOR
APPROPRIATELY INTRODUCING NUCLEIC
ACIDS INTO CELL ORGANELLES AND CELLS

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.111

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. § 1.111, please enter the following amendments and remarks.

AMENDMENT

IN THE CLAIMS:

Please cancel 2-24, 26-36, 38-81 and 83, without prejudice.

REMARKS

Claims 1, 25, and 82 are pending in this application. The claims as pending are attached hereto as *Appendix A*. Please enter this amendment prior to counting of the claims for purposes of fee calculation.

CONCLUSION

Applicants respectfully request that the above-made amendments be made of record in the file history of the instant application.